

FEDERAL ELECTION COMMISSION Washington DC 20463

THIS IS THE <u>BEGIN</u>	<u>VING</u> OF ADMIN	NISTRATIVE FINE CASE #_2741_
DATE SCANNED	8/14/13	
SCANNER NO.	2	

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2013 HAY 16 PH 12: 38

May 15, 2013 **SENSITIVE**

MEMORANDUM

TO:

The Commission

THROUGH:

Alec Palmer KOCS

Staff Director

FROM:

Patricia C. Orrock PCE

Chief Compliance Officer

Assistant Staff Director
Reports Analysis Division

BY:

Yodi Winship/Sari Pickerall

Compliance Branch

SUBJECT:

Reason To Believe Recommendation - 2013 March Monthly Report For The

Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2013 March Monthly Report in accordance with 2 U.S.C. § 434(a). The March Monthly Report was due on March 20, 2013.

The committees listed in the attached RTB Circulation Report filed the report no more than thirty (30) days after the due date (considered a late filed report). In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

- 1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. § 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
- 2. Send the appropriate letters.

Federal Election Commission Reason to Believe Circulation Report 2013 MARCH MONTHLY Not Election Sensitive 03/20/2013 P_UNAUTH

AF#	Committee ID	Committee Nume	Cavedidate Name	Tresturer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
2742	C00376343	NATIONAL CORN GROWERS ASSOCIATION (NCGA)		RODGER J. MANSFIELD	\$166,180	0	3/26/2013	6	\$146,290	\$1,410

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
Reason To Believe Recommendation - 2013)	
March Monthly Report For the)	
Administrative Fine Program:)	
NATIONAL CORN GROWERS)	AF# 2742
ASSOCIATION (NCGA), and)	
MANSFIELD, RODGER J MR as)	
treasurer;	Í	

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election

Commission, do hereby certify that on May 17, 2013 the Commission took the

following actions on the Reason To Believe Recommendation - 2013 March Monthly

Report For the Administrative Fine Program as recommended in the Reports Analysis

Division's Memorandum dated May 15, 2013, on the following committees:

AF#2742 Decided by a vote of 5-0 to: (1) find reason to believe that NATIONAL CORN GROWERS ASSOCIATION (NCGA), and MANSFIELD, RODGER J MR as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

3092692564

Attest:

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Shawn Woodhead Werth

Secretary and Clerk of the Commission



May 20, 2013

Rodger J. Mansfield, in official capacity as Treasurer National Corn Growers Association (NCGA) 20 F Street N.W., Suite 600 Washington, DC 20001

C00376343 AF#: 2742

Dear Mr. Mansfield:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a March Monthly Report of Receipts and Disbursements covering the period February 1, 2013 through February 28, 2013. This report shall be filed no later than March 20, 2013. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was filed on March 26, 2013, six (6) days late.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On May 17, 2013, the FEC found that there is reason to believe ("RTB") that National Corn Growers Association (NCGA) and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before March 20, 2013. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$1,410. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See http://www.fec.gov/af/af.shtml. 11 CFR § 111.34. Your payment of \$1,410 is due within forty (40) days of the finding, or by June 26, 2013, and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive

Level of Activity: \$146,290 Number of Days Late: 6

Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the

Commission's RTB finding, or June 26, 2013. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. Id. Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the ailministrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that National Corn Growers Association (NCGA) and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

3. If You Choose te Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

5. Settlement Offers

If you make a payment in an amount less than the calculated civil money penalty as an offer to settle or compromise a debt owed to the Commission, the offer is herewith rejected despite any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at http://www.fec.gov/af/af.shtml. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrativo Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

Ellen L. Weintraul

Ellen L. Weintraub

Chair

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$1,410 for the 2013 March Monthly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission P.O. Box 979058 St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The remittance and your payment are due by June 26, 2013. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: National Corn Growers Association (NCGA)

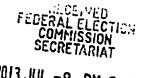
FEC ID#: C00376343

AF#: 2742

PAYMENT DUE DATE: June 26, 2013

PAYMENT AMOUNT DUE: \$1,410





2013 JUL -8 PM 3: 02

SENSITIVE

July 8, 2013

MEMORANDUM

TO:

The Commission

THROUGH:

Alec Palmer

Staff Director

FROM:

Patricia C. Orrock

Chief Compliance Officer

Debbie Chacona Assistant Staff Director
Reports Analysis Division

BY:

Kristin DeCarmine/Sari Pickerall

Compliance Branch

SUBJECT:

Administrative Fine Program - Final Determination Recommendation for the

2013 March Monthly Report

Attached are two lists of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2013 March Monthly Report. The first list represents the committees that have paid the civil money penalty and the second list represents the committees that have not paid the civil money penalty. The committees that have not paid have been given at least forty (40) days from the date of the Commission's RTB finding to remit payment.

In accordance with 11 CFR § 111.34 and 11 CFR § 111.40, the Commission shall send a final determination notice to those respondents that have either paid or not paid the civil money penalty.

RAD Recommendation

- (1) Make final determination that the political committees and their treasurers listed on the attached reports violated 2 U.S.C. § 434(a) and assess the final civil money penalties so indicated.
- (2) Send the appropriate letters.

Federal Election Commission FD Circulation Report Fine Paid 2013 MARCH MONTHLY Not Election Sensitive 03/20/2013 P_UNAUTH

۱	AF#	Committee Name	Candidate Name	Committee	· Treasurer	Receipt Date	Days Late	LOA	ΡV	RTB Date	RTB Penalty	Final Money Penalty	Date Paid	Amount Paid
	2742	NATIONAL CORN GROWERS ASSOCIATION		C00376343	RODGER J. MANSFIELD	03/26/2013	6	\$146,290	0	05/17/2013	\$1,410	\$1,410	08/25/2013	\$1,410

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
)	
Administrative Fine Program - Final)	
Determination Recommendation for the)	
2013 March Monthly Report:)	
NATIONAL CORN GROWERS)	AF# 2742
ASSOCIATION (NCGA), and)	
MANSFIELD, RODGER J MR as)	
treasurer;	Ì	

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clark of the Federal Election Commission, do hereby certify that on July 09, 2013 the Commission took the following actions on the Administrative Fine Program - Final Determination Recommendation for the 2013 March Monthly Report as recommended in the Reports Analysis Division's Memorandum dated July 08, 2013, on the following committees:

AF#2742 Decided by a vote of 5-0 to: (1) make a final determination that NATIONAL CORN GROWERS ASSOCIATION (NCGA), and MANSFIELD, RODGER J MR as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Attest:

Shawn Woodhead Werth

Snawn woodhead Werth
Secretary and Clerk of the Commission



July 10, 2013

Rodger J. Mansfield, in official capacity as Treasurer National Corn Growers Association (NCGA) 20 F Street, N.W., Suite 600 Washington, DC 20001

C00376343 AF#: 2742

Dear Mr. Mansfield:

On May 17, 2013, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that National Corn Growers Association (NCGA) and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) for filing late or failing to file the 2013 March Monthly Report. By letter dated May 20, 2013, the Commission notified you of the RTB finding and the civil money penalty calculated at the RTB stage totaling \$1,410 in accordance with the schedule of penalties at 11 CFR § 111.43.

On June 25, 2013, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on July 9, 2013 that National Corn Growers Association (NCGA) and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$1,410 in accordance with 11 CFR § 111.43, and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 CFR § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

Ellen L. Weintrand

Ellen L. Weintraub

Chair

FOR: National Corn Growers Association (NCGA)

FEC ID#: C00376343

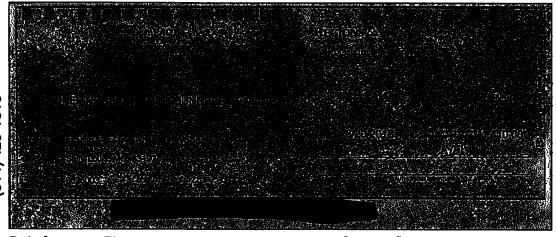
AF#: 2742

PAYMENT DUE DATE: June 26, 2013

PAYMENT AMOUNT DUE: \$1,410

FEDERAL ELECTION COMMISSION

Lockbox: GLX-979058 Ledger Date 06/24/2013



Batch 1

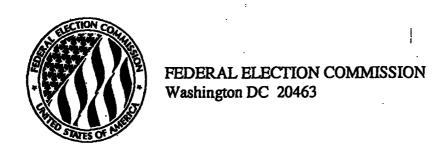
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Y-3029200

Batch Total \$2,210.00

\$1,410.00

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THIS IS THE <u>END</u> OF	ADMINISTRATIVE FINE CASE #_2:	142
DATE SCANNED	8/14/13	
SCANNER NO.		
	737	